



Application Form

Corporate and Institutional investors

How to use this Application Form

To open your register account with CACEIS, please provide the Account opening form (Part 1), the Fund introduction form (Part 2) and the Subscription form (Part 3).

For any new subscription (i.e. if you already have a register account with CACEIS), please provide only the Fund introduction form (Part 2) and the Subscription form (Part 3).

Part 1: Account opening form

In order to open your register account with CACEIS, please fill in:

- Identification details
- Banking details
- Tax details
- Reporting details
- Third-party and beneficial owner details
- Terms and conditions
- Mandatory documentation
- Representation
- Letter of representation

Part 2: Fund introduction form

In order to invest into a fund for the first time, please fill in:

- Legal name of the fund
- KIID declaration
- Institutional investor declaration (optional)

Part 3: Subscription form

In order to subscribe into the fund(s), please fill in:

- Fund identification details (fund name and ISIN code)
- Amount (or number of shares)
- Currency

Part 1: Account opening form

Please return the original documents to **CACEIS Bank Luxembourg¹, Fund Distribution Department at 5, allée Scheffer L-2520 Luxembourg** and the electronic copies by email to **FDS-investor-services@caceis.com**

IMPORTANT: Please be sure to complete all fields that are marked with an asterisk (* mandatory field) and fill in the form in BLOCK CAPITALS.

Identification details

Legal name*

Legal form*

Place of listing* (if applicable)

Company object*

Legal office address (permanent residence)

Street/No. * (P.O. Boxes are not permitted)

City*

Postal code*

Country*

Telephone number

Email*

Fax

Correspondence address, if different from the legal office

Street/No. (P.O. Boxes are not permitted)

City

Post code

Country

Contact person

First and last name (and/or department name)

Telephone number

Email*

Fax

Authorized signatories' identification

Last name*

First name*

1st

2nd

Birth date*

Birth country*

1st

2nd

Passport / ID card No.* (Please indicate the document type)

1st

☐ ID card / ☐ Passport

Nr. :

2nd

☐ ID card / ☐ Passport

Nr. :

Capacity of the signatory* (e.g. Director, Head of,...)

1st

2nd

Personal address of each signatory* (Street, Nr., Postal code, City, Country)

1st

2nd

¹ CACEIS Bank Luxembourg acting in its capacity of Transfer Agent and Prime Transfer Agent of the funds, specialising in the execution of subscription and redemption orders for fund shares /units and in the maintenance of the share/unitholder register as well as distribution support.

Banking details

Please complete your bank details and ensure the bank account is in the name of the investor, as we are not permitted to process third party payments. Redemption proceeds and dividends will be paid to the account below for each currency. CACEIS will be unable to open your account if standard payment instructions are not provided.

Bank account 1 (* mandatory)

Bank name*

Swift/BIC (Bank Identification Code) *

Bank address*

Account holder(s)*

Please complete at least one of the following settlement currency *:

EUR payment

Account number/IBAN:

USD payment

Account number/IBAN:

GBP payment

Account number/IBAN:

Select either:

JPY ☐

CHF ☐

HKD ☐

Account number/IBAN:

Bank account 2 (optional)

Bank name*

Swift/BIC (Bank Identification Code) *

Bank address*

Account holder(s)*

Please complete at least one of the following settlement currency *:

EUR payment

Account number/IBAN:

USD payment

Account number/IBAN:

GBP payment

Account number/IBAN:

Select either:

JPY ☐

CHF ☐

HKD ☐

Account number/IBAN:

Note:

- In case of change in the banking details, you must notify such a change prior to any instruction of redemption and/or dividend payments. Otherwise, such payments will be transferred to the former banking details.
- The bank account details provided must be for an account held in the Investor's name. We cannot accept or execute third party payments (payments to another party other than the Investor(s) are not permitted).
- Please provide only one bank account per currency.
- For additional payment instructions, please provide banking details on a separate sheet of paper.

Dividend policy

☐ Please tick the box if you prefer to have dividends reinvested, otherwise dividends will be automatically paid out to your bank account.

Tax details

The Tax Regulations² currently in force require the collection (via a specific self-certification form) of additional information from the investor, such as the tax residency, the tax classifications and the citizenship status. As an Account Holder, you are legally required to complete and provide this tax form upon account opening.

For any questions regarding Tax Regulations, please contact your tax adviser or the competent tax authorities.

Please fill in all the appropriate sections below and if there is a change in circumstances, provide an updated self-certification form including such change. You declare that the information provided is to the best of your knowledge and belief, accurate and complete.

Foreign Account Tax Compliance Act (FATCA)*

For further information on this regulation (e.g. definitions), please go to the official website:

<https://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA>

If the entity is a Reporting Foreign Financial Institution incorporated in a country having signed an Intergovernmental Agreement ("IGA") with the United States of America and is registered on the U.S. Internal Revenue Service (IRS) website, then provide the corresponding global intermediary identification number (GIIN code)*:

* Otherwise, provide a:

1. **W9 Form:** (<http://www.irs.gov/pub/irs-pdf/fw9.pdf>) if the entity has its registered office in the U.S. or is incorporated in the U.S.
or
2. **W8 BEN-E Form:** (<http://www.irs.gov/pub/irs-pdf/fw8bene.pdf>) if the entity does not have its registered office in the U.S. and is not incorporated in the U.S.

Specific FATCA conditions may be found in the fund's prospectus.

Automatic Exchange of Information for the Common Reporting Standard (AEOI-CRS) under the Organisation for Economic Co-operation and Development (OECD)*

For further information on this regulation (e.g. the definitions), please go to the official website: <http://www.oecd.org/tax/automatic-exchange/>

Tax residency and other information below is required for AEOI-CRS purposes. Please indicate the country in which your entity or organisation is a resident for tax purposes as well as the associated Tax Identification Number (TIN).

Country of residence for tax purposes*:

Tax Identification Number*:

If the TIN is unavailable, please explain the reason*:

If the entity has additional tax residence country(ies), please provide:

- such additional tax residence country(ies) and TIN(s) on a separate sheet (duly signed, dated with the last and first names of the authorised signatory); and
- documentary evidence (e.g. certificate of residence, tax income document) for each additional country in which the entity is resident for tax purposes.

Country of incorporation or organization*:

² The term "Tax regulations" refers to regulations created to enable Automatic Exchange Of Information (AEOI). It is not limited but includes the Foreign Account Tax Compliance Act (FATCA) contained in the US Hire Act 2010 and the Common Reporting Standard (CRS) approved by the Organisation for Economic Co-operation and Development (OECD) Council on 15 July 2014 for automatic exchange of financial account information.

AEOI-CRS status *:

Please tick the box corresponding to the AEOI-CRS status of the entity.

- (a) Financial Institution – Professionally managed investment entity
- (b) Financial Institution – Other
- (c) Active NFE – Corporation that is publicly traded or an affiliate of a publicly traded corporation
- (d) Active NFE – Government entity
- (e) Active NFE – Category of entity other than those listed in (c) to (d)
- (f) Passive NFE

☐
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AEOI-CRS Controlling Persons Identification

This section is only required for:

- *Passive NFE; or*
- *Professionally-managed investment entity whose permanent residence is in a country that has not entered into an AEOI agreement (i.e. a Competent Authority Agreement).*

Please tick the box corresponding to the type of Controlling Person:

- Directly or indirectly owning % (percent) of the entity's shares, units or voting rights
- Or, where no person owns such an interest, exercising effective control over the entity
- Or, where there is no effective control, holding the position of a senior managing official

☐
☐
☐
AEOI-CRS Identification of the Controlling Person No.1:

Title

Date of birth*

First or given names*

City or town of birth*

Last or family name*

Country of birth*

Permanent residence address of the Controlling Person No.1:

Line 1 (e.g. number and street)*

Line 2 (e.g. postal code and town)*

City*

Country*

AEOI-CRS Tax residence(s) and tax identification number(s) of the Controlling Person No.1:

Country of residence for tax purposes*

Tax Identification Number*

If the TIN is unavailable, please explain the reason *:

- If the entity has additional tax residence country(ies), please provide:
 - such additional tax residence country(ies) and TIN(s) on a separate sheet (duly signed, dated with the last and first names of the authorised signatory); and
 - documentary evidence (e.g. certificate of residence, tax income document) for each additional country in which the entity is resident for tax purposes.
- If there is more than one Controlling Person (e.g. Controlling Person No.2, No.3...), please print and provide the identification information of such additional Controlling Persons in a separate sheet with all the above "AEOI-CRS Controlling Persons Identification" (duly signed, dated with the last and first names of the authorised signatories).

Note:

- Account opening and subscriptions into the fund(s) are not authorised if you do not provide the above mentioned FATCA documentation (GIIN code or W8 form or W9 form) or the AEOI-CRS tax information.

- By signing this document you acknowledge that the information contained in this account opening form (and especially in this tax form and all other required tax information such as the account balance, the amount of revenue and sale proceeds paid or credited to the Financial Account) may be reported to the competent tax authorities, if required.

Reporting details

As Account Holder, you will be entitled to receive the following documents:

- a) Contract notes (upon transaction);
- b) Dividend notification (upon transaction);
- c) Acknowledge notification (upon transaction);
- d) Statement of your holdings (monthly);
- e) MT 535 (i.e. SWIFT message if applicable, select your frequency: ☐ daily or ☐ monthly).

Please select the media (for the receipt of such documents) *:

☐ Contact person no.1

First name: *same as mentioned in the identification details*

Last name: *same as mentioned in the identification details*

Department: *same as mentioned in the identification details*

☐ E-mail: *same as mentioned in the identification details*

OR

☐ Fax:

Document: *Contact person no.1 will receive all the documents*

☐ Contact person no.2

First name:

Last name:

Department:

☐ E-mail:

OR

☐ Fax:

Select the documents: ☐ a) ☐ b) ☐ c) ☐ d) ☐ e)

☐ Contact person no.3

First name:

Last name:

Department:

☐ E-mail:

OR

☐ Fax:

Select the documents: ☐ a) ☐ b) ☐ c) ☐ d) ☐ e)

☐ Contact person no.4

First name:

Last name:

Department:

☐ E-mail:

OR

☐ Fax:

Select the documents: ☐ a) ☐ b) ☐ c) ☐ d) ☐ e)

☐ Contact person no.5

First name:

Last name:

Department:

☐ E-mail:

OR

☐ Fax:

Select the documents: ☐ a) ☐ b) ☐ c) ☐ d) ☐ e)

☐ Contact person no.6

First name:

Last name:

Department:

☐ E-mail:

OR

☐ Fax:

For receipt of documents: ☐ a) ☐ b) ☐ c) ☐ d) ☐ e)

Select one preferred reporting currency *:

USD ☐ EUR ☐ GBP ☐ JPY ☐ CHF ☐ HKD ☐

Select one preferred language *:

English ☐ Mandarin Chinese ☐ Spanish ☐ French ☐ German ☐ Italian ☐

Note:

By signing this document you accept that the information is sent via a standard unsecured e-mail and that CACEIS will not be held responsible for any potential interception of this information for harmful or fraudulent use.

Third-party and beneficial owner details

Please confirm if the account holder is acting*: ☐ on behalf of third party(ies) or ☐ on his/her own behalf

The identification details and documentation of the underlying beneficial owner(s) must be provided if:

- the account holder is acting on its own behalf and is a legal entity with shareholders owning more than 25% of the share capital; or if
- the account holder is acting on behalf of third parties.

Exceptions:

- No need to identify the underlying beneficial owner(s) if the account holder is a regulated Financial Institution in an equivalent country providing a representation letter (see template) confirming it performs the AML due diligence on the beneficial owners.
- No need to identify the shareholders if the account holder is a Publicly Listed Company (listed on a recognised stock exchange).
- No need to identify the shareholders if the account holder has no shareholders owning more than 25% of the shares capital (please note that a confirmation stating there are no shareholders with more than 25% of the shares capital is however required).
- For intermediate entity shareholder(s) owning more than 25%, there is no need to identify the underlying beneficial owners if the intermediate shareholder:
 - i. is a regulated Financial Institution incorporated in an equivalent country, providing a representation letter (see template) confirming it performs AML due diligence on the beneficial owners, or
 - ii. is a Publicly Listed Company listed on a recognised stock exchange, or
 - iii. has no shareholders owning more than 25% of the shares capital (providing a confirmation that there are no shareholders with more than 25% of the shares capital).

Note: For an intermediate entity shareholder(s) owning more than 25%, you must provide details of the natural persons beneficially owning the ultimate controlling interest (i.e. ultimate beneficial owners), not the details of the intermediate entity shareholders.

The identification details of the underlying beneficial owner(s) must be provided in a separate identification sheet (Name, Birth country, Birth date, Nationality, ID/Passport Nr., Profession, Address, Email, Phone) along with the required AML-KYC compliance documentation (ID card/Passport, proof of address), an Ultimate Beneficial Owner Declaration and the source of wealth (savings property income, game winnings, Inheritance, Other). For an investment over €100 000, please provide a certified copy of the most appropriate document(s) attesting the source of wealth: e.g. portfolio statement (from your broker/bank), notary act, income tax document.

Mandatory documentation

For compliance purposes, the account holder is required to provide CACEIS with AML-KYC documentation as described in the section "Terms and Conditions" below.

Representation

I/We declare that:

- the Account Opening form has been read and filled in accordingly.
- I am/We are aware that the Transfer Agent and Prime Transfer Agent assume no liability for failure to process an order as a result of incomplete or inaccurate information presented by the subscriber in this Application Form.
- I/we will inform the Transfer Agent of any changes in the information provided in this Application Form.
- I/we accept the Terms and Conditions and I/We have read and understood such terms and conditions.
- I am/we are duly authorised to sign this Account Opening form.
- the invested funds were not derived from illegal activities as described under the recommendations issued by the Financial Action Task Force on Money Laundering (FATF) and that invested funds are declared to the local fiscal authorities.
- I/we authorise CACEIS Bank Luxembourg to share my/our and the entity's personal and financial information with any entity in the CACEIS group.

As authorised signatory(ies) I/we hereby sign this Account Opening form (please provide the certified copy of the power of attorney or the list of authorised signatories of the company).

1st signatory*

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

2nd signatory* (if a second signatory)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

Letter of representation

ONLY MANDATORY for companies listed on official stock exchanges and financial institutions acting on behalf of third parties residing in one of the Financial Action Task Force countries (FATF see <http://www.fatf-gafi.org/countries/>). [You may complete it hereunder or print it on your letterhead]

Dear Sir/Madam,

We shall, from time to time, purchase, redeem and hold, Units / Shares of Luxembourg mutual funds (the Funds) for which you act as Depository Bank and/or Register Agent. We hereby represent to you that :

1. We are aware of the terms of the Prospectus of the relevant Funds, we shall observe them and shall not allow the purchase or holding of units or shares of the Funds by persons not authorised to purchase or hold them under the provisions of the Prospectus. Furthermore, we will not allow US persons³ to become investors in the fund.
2. We are located in a country that has issued legislation or regulations for the prevention of money laundering and the fight against terrorism in line with :
O the recommendations of the Financial Action Task Force (FATF member country);
O the E .U. Council Directive 2005/60/CE of 26 October 2005;
O(please insert name of any other applicable ruling document).

In this regard, we have in place Know Your Customer policies, we perform transaction controls and obtain :

- a. Information on the formation of the company and copies of constitutional documents, a recent trade register extract, the identity and authorised mandate of the directors, the bank account details and the identity and beneficial ownership of the Shareholders, if a corporate entity, or
 - b. the Shareholder's true name, address and date of birth if a natural person.
 - c. Where an investor is registered as nominee, trustee or in any other fiduciary capacity for another person, we have obtained appropriate documentary evidence to support the identification of the other person(s), which can be produced on request.
3. We confirm that we have checked and will monitor that none of the Investors introduced by us and their underlying beneficiaries (if any) do not appear on the watch lists published by the European Community and/or the US Office for Foreign Assets Control.
 4. We confirm that if one of the investors introduced by us is a person holding a legislative, administrative or judicial office or a public function or is a senior political figure or one of their family member or close associate is, we have used all reasonable efforts to verify that the source of funds are not the proceeds of corruption or other illegal activity;
 5. We will retain documentary evidence of the identity of the introduced investor(s) for a period of at least five years from the cessation of our relationship with them. Upon your request we will provide you with all the investor's information and the copies of the documents you deem appropriate in your role of register agent, such as proof of the identity of the final beneficiaries of the shares/units of the fund, to the extent permitted by the laws to which we are submitted;
 6. We will inform you immediately if we become aware of any introduced investor engaging in activities which lead us to believe that such investor is involved in money laundering or terrorist activities to the extent permitted by our legislation ;
 7. We shall not advertise for investors, solicit investors, nor offer or sell any of the Funds, unless we may do so in compliance with the laws and regulations applicable in our country;
 8. If the invested Fund is not authorised for public distribution in the country where it is marketed (in this case, the country of residence of the client), we confirm that we are in compliance with any relevant local regulations regarding the distribution and more precisely private placement rules (if private placement is allowed in the country of residence of the client) and can demonstrate in the case where reverse solicitation applies that we have evidence of such solicitation and are able to provide such evidence upon request to the Fund, the Management Company of the Fund or any of their duly authorised delegates;
 9. We confirm that we have stringent rules and policies in place that safeguard the funds' investors against late trading and market timing⁴ practices ;
 10. We confirm that we have taken knowledge of, understood and agreed upon the provisions of section V above relating to the KIID and that we have complied, and will continuously comply, with the undertakings and obligations applicable to us in this respect, which we are aware of and fully understand. We hereby acknowledge, agree and undertake that we will provide the Fund, the Management Company of the Fund or any of their duly authorised delegates, with all evidence of compliance with the above mentioned provisions, swiftly upon request.

The above representations shall be deemed reiterated at the time of any order placed with you and shall continue throughout the period you will hold investment funds shares/units for us or our customers.

*For and on behalf of :

[Legal name of Company]

1st signatory*

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

2nd signatory* (if a second signatory)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

³ For the definition of U.S. Person, please refer to the Tax information section of this Application Form.

⁴ **Market Timing:** An arbitrage technique by which an investor systematically buys and sells or converts units or shares of the same UCI within a short lapse of time to take advantage of the time difference and/or the imperfections or deficiencies of the UCI NAV calculation.

Terms and conditions

Mandatory documentation

As required by the prospectus of the Fund(s) and the local applicable laws, particularly as concerns the prevention of money laundering during application, the Application Form must be accompanied by documents that will allow CACEIS Bank Luxembourg (acting in its capacity of Transfer Agent and Prime Transfer Agent) to identify the account holder and, if applicable, all underlying beneficial owners of the investment.

Subsequent subscriptions of shares/units within the Fund(s) shall not require the subscriber to provide CACEIS Bank Luxembourg with the identification documents again, to the extent that the investor's situation remains unchanged from initial or previous subscriptions.

Notwithstanding the foregoing, the account holder may be requested to provide additional or updated identification documents from time to time pursuant to on-going due diligence requirements under relevant laws and regulations.

In case of delay or failure by the account holder to provide the required documents, the application for subscription may not be accepted and in case of redemption request, the payment of the redemption proceeds and/or dividends may not be processed. Neither the Fund(s) nor CACEIS Bank Luxembourg shall have any liability for delays or failure to process orders into the fund(s) as a result of the account holder providing incomplete documentation.

Investment Information

This Application Form is subject to the provisions set out in the Fund's Prospectus.

Orders received after the cut-off time specified in the Prospectus will be processed on the following NAV calculation date, subject to the provisions of the CSSF (Commission de Surveillance du Secteur Financier) circular 04/146 or amendments thereto.

For the French domiciled Funds, the cut-off time is one hour less than the official Fund cut-off time for orders received by fax and 30 minutes less for orders received by swift.

Incomplete or illegible orders will be accepted only after such orders have been received with complete and legible information by the Transfer Agent and Prime Transfer Agent. No money will be transferred to the Fund unless the order is accepted.

Data protection

The Fund(s) and the Transfer Agent/Prime Transfer Agent may process information, including personal data, about the Investor or in the case of an Institutional Investor the directors, officers and employees of the Investor and the Intermediary and those of its affiliates and agents which may constitute personal data under the EU Data Protection Directive and locally implementing laws uncovered by the EU Data Protection Directive. The Fund(s) is/are acting as a data controller for this purpose.

The purpose of processing the personal data may be related to the following, which is a non-exhaustive list: a) administering relationships and related services, b) operational purposes and statistical analysis, c) conducting market or customer satisfaction research, d) compliance with any requirement of law and regulation, e) confirming and verifying an Investor's or authorised person's identity. The Fund(s) may also screen against publicly available government and/or law enforcement agency sanctions lists, f) the detection, investigation and prevention of fraud and other crimes or malpractice, and g) Foreign Account Tax Compliance Act (FATCA) and Automatic Exchange Of Information (AEOI) Common Reporting Standard (CRS).

The personal data may be disclosed, which is a non-exhaustive list: a) to CACEIS Bank Luxembourg (acting in its capacity of Transfer Agent and Prime Transfer Agent) and any entity in the CACEIS group and any company in the group of companies to which the Fund(s) is/are a part, their agents, auditors, service providers, regulators, governmental or law enforcement agencies or any person the Fund(s) reasonably thinks necessary for the processing purposes outlined above, b) to actual or potential purchasers of parts of the business, and their respective advisers and insurers, and in relation to the transfer of contractual rights and/or obligations and c) if the Fund(s) or any person to whom the Fund(s) discloses personal data

otherwise have a right or duty to disclose the personal data, or are allowed or compelled by law to do so.

The Fund(s) may process and disclose data as described above in any country in which the Fund(s) conducts business or has a service provider. This may include some countries that do not provide the same statutory protection for Personal Data.

The Fund(s) may contact the Investor and its employees, in the case of an Institutional Investor, by regular mail, e-mail, telephone and other electronic means to provide information on products and services that the Fund(s) believes will be of interest, unless it receives an objection to this.

The investor has the right to access, rectify and object to the processing of personal data. To exercise its rights, the investor must send a letter to CACEIS Bank Luxembourg, *Data protection purposes*, 5, allée Scheffer L-2520 Luxembourg.

General definitions

"Constitutive Documents" means the Articles of Incorporation or Management Regulations, as the case may be, of a Fund as amended from time to time.

"FATF" means the Financial Action Task Force.

"Fund" or "Funds" means the collective investment schemes listed in the Application Form.

"Institutional Investor" means any Investor that is not a natural person and for which the business purpose requires the management of substantial assets. The main characteristic of any institutional investor is that its business purpose requires the management of substantial assets. An institutional investor may be an Insurance company, social security institution, credit institution, UCI (Undertaking Collective Investment), local authority, unregulated investment company (e.g. holding company) under certain conditions, commercial company with substantial assets under management, pension fund or other professionals of the financial sector. Credit institutions and other professionals of the financial sector investing in institutional funds in their own name but on behalf of another party on the basis of a discretionary management relationship are also considered as institutional investors, even if the third party on behalf of which the investment is undertaken is not itself an institutional investor.

"Investor" means the entity or organisation subscribing for Shares including, where applicable, an Intermediary who is authorised to subscribe to Shares on behalf of its clients.

"Intermediary" means the entity or person advising and dealing on behalf of the Investor.

"Fund" means an investment fund vehicle.

"Prospectus" means the current prospectus issued by or in respect of each Fund, whether supplemented or periodically amended.

"Shares" means shares or units of a Fund.

"Terms and Conditions" means these terms and conditions that form part of the Application Form, whether supplemented or periodically amended.

Part 2: Fund introduction form

Please return the original documents to **CACEIS Bank Luxembourg⁵, Fund Distribution Department at 5, allée Scheffer L-2520 Luxembourg** and the electronic copies by email to **FDS-investor-services@caceis.com**

IMPORTANT: Please be sure to complete all fields that are marked with an asterisk (* mandatory field) and fill in the form in BLOCK CAPITALS.

You do not need to fill in this *Fund Introduction form*, if you want to invest into a fund in which you already hold positions.

Account holder identification

If your account is already opened, please provide your register account number at CACEIS

If your account is not yet opened, CACEIS will provide your attributed register account number once it is opened.

Fund identification

Fund legal name (one fund only)

I/We want to invest into the following fund: *

KIID Declaration

ONLY NECESSARY FOR an introduction to a UCITS fund.

The Key Investor Information Document (**KIID**) of the Fund relating to each share class should be available on the website of the Fund or of its Management Company (according to Undertaking for Collective Investments, implementing European Directive and its implementation acts).

* ☐ [Final investors](#) investing in the Fund for their own account.

The subscriber hereby acknowledges and agrees that any subscription/switch order made by the subscriber shall be considered as a written confirmation from the subscriber that the investor/underlying beneficial owner ("BO") has taken knowledge of the up-to-date relevant KIID as published on the above mentioned website on the date such subscription/order was made.

* ☐ [Financial intermediaries](#) investing in the Fund for the account of investors, or advising investors to invest in the Fund.

The financial intermediaries hereby confirm that they have provided the underlying beneficial owners ("BO") or potential investors with the relevant up-to-date KIID for any subscription/order made and take full responsibility for any concerns regarding the notification of the KIID to the investors/BO.

By signing this *Fund Introduction form*, I/We declare to have read the relevant Key Investor Information Documents (KIID) and I/We agree that any new subscription/order made shall be considered as a written confirmation that I/We have taken knowledge of the relevant up-to-date KIID.

⁵ CACEIS Bank Luxembourg acting in its capacity of Transfer Agent and Prime Transfer Agent of the funds, specialising in the execution of subscription and redemption orders for fund shares /units and in the maintenance of the share/unitholder register as well as distribution support.

Institutional investor declaration

☐ ***ONLY IF you want to invest into *institutional share classes* of the Fund (i.e. restricted to institutional investors) or into a SIF (Specialised Investment Fund), you need to hereby confirm that you are regarded as an Institutional Investor⁶ in the in the meaning of the law and more particularly the Guidance Note issued by the Commission de Surveillance du Secteur Financier (CSSF) on 27th December, 1999.**

Please tick the corresponding institutional investor category*:

- | | |
|--|---|
| <input type="checkbox"/> A credit institution | <input type="checkbox"/> Third parties through a credit institution or other PSF with which they are in discretionary |
| <input type="checkbox"/> Other Professionals of the Financial Sector (PSF) | <input type="checkbox"/> Management relationship |
| <input type="checkbox"/> An insurance or reinsurance company | <input type="checkbox"/> An undertaking for collective investment (UCI) |
| <input type="checkbox"/> A social security organisation | <input type="checkbox"/> A holding company or similar whose partners are institutional investors |
| <input type="checkbox"/> A pension Fund | <input type="checkbox"/> A local authority investing its own capital |
| <input type="checkbox"/> An industrial and financial group | <input type="checkbox"/> Other structures: <input type="text"/> |

Third-party and beneficial owner details

Important: This section is not required if it was already completed in the Account Opening form and it remains unchanged for this fund introduction.

Please confirm if the account holder is acting*: ☐ on behalf of third party(ies) or ☐ on his/her own behalf

The identification details and documentation of the underlying beneficial owner(s) must be provided if:

- the account holder is acting on its own behalf and is a legal entity with shareholders owning more than 25% of the share capital; or if
- the account holder is acting on behalf of third parties.

Exceptions:

- No need to identify the underlying beneficial owner(s) if the account holder is a regulated Financial Institution in an equivalent country providing a representation letter (see template) confirming it performs the AML due diligence on the beneficial owners.
- No need to identify the shareholders if the account holder is a Publicly Listed Company (listed on a recognised stock exchange).
- No need to identify the shareholders if the account holder has no shareholders owning more than 25% of the shares capital (please note that a confirmation stating there are no shareholders with more than 25% of the shares capital is however required).
- For intermediate entity shareholder(s) owning more than 25%, there is no need to identify the underlying beneficial owners if the intermediate shareholder:
 - iv. is a regulated Financial Institution incorporated in an equivalent country, providing a representation letter (see template) confirming it performs AML due diligence on the beneficial owners, or
 - v. is a Publicly Listed Company listed on a recognised stock exchange, or
 - vi. has no shareholders owning more than 25% of the shares capital (providing a confirmation that there are no shareholders with more than 25% of the shares capital).

Note: For an intermediate entity shareholder(s) owning more than 25%, you must provide details of the natural persons beneficially owning the ultimate controlling interest (i.e. ultimate beneficial owners), not the details of the intermediate entity shareholders.

The identification details of the underlying beneficial owner(s) must be provided in a separate identification sheet (Name, Birth country, Birth date, Nationality, ID/Passport Nr., Profession, Address, Email, Phone) along with the required AML-KYC compliance documentation (ID card/Passport, proof of address), an Ultimate Beneficial Owner Declaration and the source of wealth (savings property income, game winnings, Inheritance, Other). For an investment over €100 000, please provide a certified copy of the most appropriate document(s) attesting the source of wealth: e.g. portfolio statement (from your broker/bank), notary act, income tax document.

Note: This Fund introduction form is not a subscription form. To subscribe in the selected fund, please complete the Subscription form (see next page) or provide your own subscription form.

1st signatory*

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

2nd signatory* (if a second signatory)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

⁶ The main characteristic of any institutional investor is that its business purpose requires the management of substantial assets. An institutional investor may be an Insurance company, social security institution, credit institution, UCI (Undertaking Collective Investment), local authority, unregulated investment company (e.g. holding company) under certain conditions, commercial company with substantial assets under management, pension fund or other professionals of the financial sector. Credit institutions and other professionals of the financial sector investing in institutional funds in their own name but on behalf of another party on the basis of a discretionary management relationship are also considered institutional investors, even if the third party on behalf of which the investment is undertaken is not itself an institutional investor.

Part 3: Subscription form

For your subscription into the fund, **please complete and send this form by FAX: 00352 47 67 70 37** (CACEIS Bank Luxembourg acting as Transfer Agent of the Fund).

IMPORTANT: Please be sure to complete all fields that are marked with an asterisk (*) mandatory field) and fill in the form in BLOCK CAPITALS.

Account holder identification

If your account is already opened, please provide your register account number at CACEIS

If your account is not yet opened, CACEIS will provide your attributed register account number once it is opened.

Fund identification

I/We want to subscribe into the following **fund:** *

With the share class name (if applicable)

With the following **security code** (ISIN): *

Amount or number of shares and currency

I/we want to buy either*:

Amount

Currency

(thousands separator “,” and the decimal point “.”)

OR

Number of shares

(thousands separator “,” and the decimal point “.”)

By paying in the following **currency** (if different from the above currency)

USD ☐ EUR ☐ GBP ☐ JPY ☐ CHF ☐ HKD ☐

Payment transfer and account

Details of accounts to which subscription payments are to be transferred, **please select*** an account in accordance with the selected currency above:

Beneficiary: CACEIS Bank Luxembourg

<input type="checkbox"/> EUR Subscription	<input type="checkbox"/> USD Subscription	<input type="checkbox"/> JPY Subscription	<input type="checkbox"/> CHF Subscription	<input type="checkbox"/> GBP Subscription
TARGET II	JP Morgan Chase	Bank of Tokyo Mitsubishi	UBS AG	HSBC Bank Plc Intl.
Swift: BSUILULL	Swift: CHASUS33	Swift: BOTKJPJT	Swift: UBSWCHZH80A	Swift: MIDLGB22
	Account number: 796706786 IFO: BSUILULL	Account number: 653- 0418285 IFO: BSUILULL	Account number: 023000000607370500 00Z IFO: BSUILULL	Account number: 35210915 IFO: BSUILULL

IMPORTANT:

- The transfer description should include: (i) investor's name, (ii) your registrar account number with CACEIS, (iii) legal fund name and (iv) the ISIN code.
- Orders received after the cuff-off time specified in the prospectus will be processed on the following NAV calculation date.
- The order(s) in this Subscription form will be accepted by the Transfer agent, only once your account is opened.**

1st signatory*

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

2nd signatory* (if a second signatory)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	